

Substitute for Form 1449/PTO

DRAFT INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

APR 25 2005

APR 25 2005

RECEIVED
PATENT & TRADEMARK OFFICE

1

of

2

Attorney Docket Number 004828.P001

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
m	1	us-	5,935,212	08-10-1999	Kalajan et al.	
m	2	us-	5,946,674	08-31-1999	Nordin et al.	
m	3	us-	5,961,593	10-05-1999	Gabber et al.	
m	4	us-	6,029,245	02-22-2000	Scanlan	
m	5	us-	6,032,260	02-29-2000	Sasmazel et al.	
m	6	us-	6,144,638	11-07-2000	Obenhuber et al.	
m	7	us-	6,289,462 B1	09-11-2001	McNabb et al.	
m	8	us-	6,345,303 B1	02-05-2002	Knauerhase et al.	
m	9	us-	6,389,462 B1	05-14-2002	Cohen et al.	
		us-				
		us-				
		us-				
		us-				
		us-				
		us-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature

m

Date Considered
12/12/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

2

Complete if Known	
Application Number	09/580,365
Filing Date	May 26, 2000
First Named Inventor:	Hsu et al.
Art Unit	2134
Examiner Name	Tongoc Tran

Attorney Docket Number

004828.P001

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
<i>m</i>	10	WU, SUN et al., "Virtual Proxy Servers for WWW and Intelligent Agents on the Internet," IEEE, 1997, pp. 200-209.	
<i>m</i>	11	NEWTON, HARRY, "Newton's Telecom Dictionary," Telecom Books, 14 th Edition, October 1998, p.260.	
<i>m</i>	12	KIM, JOO-YONG et al., "A Proxy Server Structure and its Cache Consistency Mechanism at the Network Bottleneck," IEEE, 1999. pp. 278-283.	
<i>m</i>	13	GREENE, TIM, "Access Control Software on tap from Neoteris," Network World, vol. 18, No. 45, November 5, 2001, pp. 23-24.	
<i>m</i>	14	PCT Search Report, PCT/US01/40812, April 9, 2002.	

Examiner Signature	<i>m</i>	Date Considered	<i>12/17/05</i>
--------------------	----------	-----------------	-----------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

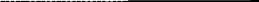
Substitute for Form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(use as many sheets as necessary)</small>				Application Number	09/580,365
				Filing Date	May 26, 2000
				First Named Inventor:	Hsu et al.
				Art Unit	2134
				Examiner Name	Tongoc Tran
1	of	2	Attorney Docket Number 004828.P001		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
<i>m</i>	1.	us-	5,528,503	06-18-1996	Moore et al.	
<i>m</i>	2.	us-	5,621,796	04-15-1997	Davis et al.	
<i>m</i>	3.	us-	5,987,480	11-16-1999	Donohue et al.	
<i>m</i>	4.	us-	6,002,767	12-14-1999	Kramer	
<i>m</i>	5.	us-	6,182,095 B1	01-30-2001	Leymaster et al.	
<i>m</i>	6.	us-	2002/0184527 A1	12-05-2002	Chun et al.	
<i>m</i>	7.	us-	6,704,873 B1	03-09-2004	Underwood	
<i>m</i>	8.	us-	6,779,111 B1	08-17-2004	Gehrmann et al.	
<i>m</i>	9.	us-	2004/0230820 A1	11-18-2004	Hui Hsu et al.	
		us-				
		us-				
		us-				
		us-				
		us-				
		us-				
		us-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	<i>m</i>	Date Considered	<i>12/10/03</i>
--------------------	----------	-----------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Examiner Signature		Date Considered	12/1/85
-----------------------	---	--------------------	---------

**Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.*

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

<p>Substitute for Form 1449/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>DEC 02 2005 (use as many sheets as necessary)</p>				Complete if Known	
				Application Number	09/580,365
				Filing Date	May 26, 2000
				First Named Inventor:	Hsu et al.
				Art Unit	2134
				Examiner Name	Tongoc Tran
				Attorney Docket Number	004828.P001
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
<i>m</i>	1.	US Patent Application Serial No. 09/792,226 (filing date 02/22/2001), Office Action mailed on 10/01/2004.			
<i>m</i>	2.	US Patent Application Serial No. 09/792,226 (filing date 02/22/2001), Office Action mailed on 04/21/2005.			
<i>m</i>	3.	US Patent Application Serial No. 09/792,226 (filing date 02/22/2001), Advisory Action mailed on 06/30/2005.			
<i>m</i>	4.	US Patent Application Serial No. 09/792,226 (filing date 02/22/2001), Office Action mailed on 08/26/2005.			
Examiner Signature		<i>m</i>		Date Considered	<i>10/21/05</i>

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.